

AGS ref	Issue	Risk 1 – 4	Responsible Officer	Action / Comment	Implementation Date
5	<b>Significant Control and Governance issues identified in 2008/09</b>				
	<b>Financial Regulations</b> The Council's Financial Regulations were last reviewed and updated in June 2005 and do not reflect the current organisational and management structure of the Council. A process of review of Financial Regulations is underway and revised Financial Regulations will be published in 2009-10	3	Chief Finance Officer	The Council's revised Financial Regulations were approved by the Finance & Administration Committee in June 2009	implemented
5	<b>Outstanding issues from the 2007-08 AGS</b>				
	<b>Use of Resources</b> The Council has received a score of 1 for the 2007/08 assessment, a new corporate team has been established which will have lead role in co-ordinating the council's Use of Resources.	4	SMB	Work undertaken by the team has resulted in the Council receiving an improved Use of Resources score of 2 for 2008-09	implemented
	<b>Partnership Working</b> The Council has a number of partnership working arrangements in place, which are critical to the achievement of the Council's corporate objectives. As such, the Council should ensure that the risk and corporate governance arrangements for these partnerships is fully considered.	3	Chief Executive	Current Partnership arrangements require risk assessment. All new significant partnerships to be fully risk assessed	31/03/10

*Risk descriptions*

4. Catastrophic effect - immediate action required. Matters that are considered fundamental that require immediate attention and priority action.
3. Significant impact – action required. Matters that are considered significant that should be addressed within six months.
2. Some impact – action necessary. Matters that are considered important that should be addressed within twelve months.
1. Little or no impact. Matters that merit attention and would improve overall control levels.

Action	Responsible Officers	When?
review the Significant Control & Governance Issues identified in the 2008/09 AGS	AGS working group	October 2009
draw up the management action plan for the outstanding issues	AGS working group / SMB	October 2009
establish current progress towards improvement / resolution of the issues (and supporting evidence)	Specific Senior Managers	November 2009
AGS update to PSC	Audit Manager	November 2009
1st review of management action plan	AGS working group	January 2010
first draft of 2008/09 AGS – using the 2007/08 AGS as our template but updated to reflect current situation and the minor amendments suggested by Audit Commission	Audit Manager	February 2010
review of evidence already in place to support draft AGS	Audit Manager	February 2010
begin programme of additional evidence gathering e.g. Directors and Service Heads management assurance statements which we did not have last year	All Senior Management	February 2010
2nd review of management action plan	AGS working group	March 2010
evaluation of evidence and consideration of any outstanding Significant Control & Governance Issues from 2007/08 or any new ones included in the draft AGS	AGS working group	March 2010
initial draft AGS completed and forwarded to AGS working group for consideration	Audit Manager	March / April 2010
initial draft present to SMB	AGS working group	April / May 2010
AGS update to PSC to include review of initial draft AGS	Audit Manager	May 2010
Final draft present to SMB (if radically changed by PSC)	AGS working group	May / June 2010
AGS approval as part of Statement of Accounts at Performance Select Committee	Chief Finance Officer / Audit Manager	June 2010